

03-22-01

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## EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Margaret Pierce

Express Mail No.

EL 563 387 899 US

Signature

Date

3/20/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO.

24353

Atty Docket No.

UCSF-129CIP

First Named Inventor

German, et al.

Title: Production of Pancreatic Islet Cells and Delivery of Insulin

11033 U.S. PTO  
09/817360

03/20/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311. ☒ Fee Transmittal Form in Duplicate (1 page)2. ☒ Specification Total Pages 61

- (preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix

5. ☐ Microfiche Computer Program (*Appendix*)6. ☒ Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)

- a. ☒ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies

## - Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) Total Sheets 3 sets of 20  
(Black and White and Color Photographs)4. ☒ Oath or Declaration Total Sheets 2

- a. ☐ Newly executed (original or copy)/
- b. ☐ Copy from a prior application (37,CFR 1.63(d)  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
- c. ☒ Unsigned

## ACCOMPANYING APPLICATION PARTS

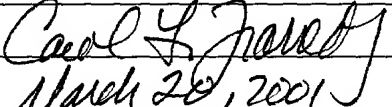
7. ☐ Assignment Papers (cover sheet & document(s))8. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(*when there is an assignee*) Attorney9. ☐ English Translation Document (*if applicable*)10. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations11. ☐ Preliminary Amendment12. ☐ Return Receipt Postcard (MPEP 503)  
(*Should be specifically itemized*)13. ☐ Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired14. ☐ Certified Copy of Priority Document(s)  
(*if foreign priority is claimed*)15. ☐ Other: Return Postcard; Petition to Accept Color  
Photographs16. ☒ Applicant Claims small entity status. See 37 CFR 1.27

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation of prior application No. 09/535,145, filed March 24, 2000.

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new non-provisional applications under 37 CFR 1.53(b))

<b>17. CORRESPONDENCE ADDRESS</b>			
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<b>Firm Name</b>	BOZICEVIC, FIELD & FRANCIS LLP		
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<b>SIGNATURE of Applicant or Assignee of Record</b>	
<b>Individual Name</b>	Carol L. Francis
<b>Registration No.</b>	36,513
<b>Signature</b>	
<b>Date</b>	March 28, 2001

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03/20/01

**FEE TRANSMITTAL  
FOR 2000  
PTO/SB17**
*Patent fees are subject to annual revision.*
**TOTAL AMOUNT OF PAYMENT \$628.00**

Application No.	Unassigned
Filing Date	Herewith
First Named Inventor	German, et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	UCSF-129CIP

**METHOD OF PAYMENT**
**The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:**
**Deposit Account No. 50-0815**
**FEE CALCULATION**
**1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	710	201	355	Utility filing fee	\$355.00
102	320	206	160	Design filing fee	\$
104	490	207	245	Plant filing fee	\$
109	710	208	355	Reissue filing fee	\$
110	150	214	75	Provisional filing fee	\$
Subtotal (1)					\$355.00

**2. CLAIMS**

No. of claims as filed or after amendment			Claims Previously Paid		Extra claims		Fee from below		Fee Due
Total claims	27	-	20	=	7	x	\$ 9.00	=	\$ 63.00
Ind. claims	5	-	3	=	2	x	\$40.00	=	\$ 80.00
Multiple Dependent claims						x		=	
Large Fee Code	Entity Fee (\$)		Small Fee Code	Entity Fee (\$)		Fee Description			
103	18		203	9		Claims in excess of 20			
102	80		202	40		Independent claims in excess of 3			
104	270		204	135		Multiple dependent claim			

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Due	Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification		147	2,520	147	2,520	Filing a request for reexamination	
115	110	215	55	Ext. for reply within first month		116	390	216	195	Ext. for reply within second month	
117	890	217	445	Ext. for reply within third month		118	1,390	218	695	Ext. for reply within fourth month	
128	1,890	228	945	Ext. for reply within fifth month		119	310	219	155	Notice of Appeal	
120	310	220	155	Filing brief in support of appeal		121	270	221	135	Request for oral hearing	
140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)		122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to prov. appl.		126	180	126	180	Submission of IDS	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))		149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee	
581	40	581	40	Recording patent assignment		Other fee (specify)				Petition to Accept Color Photographs Or Drawings	\$130

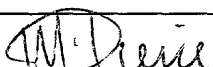
Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) 498.00**
**TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT 50-0815**
**(\$) 628.00**

Submitted by (Typed Name)	Carol L. Francis	<b>BOZICEVIC, FIELD &amp; FRANCIS LLP</b>	
Signature	<i>Carol L. Francis</i>	Date	<i>March 20, 2007</i>
Reg. Number	36,513		

## EXPRESS MAIL CERTIFICATE

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Typed or Printed Name	Margaret Pierce	Express Mail No.	EL 563 387 899 US
Signature		Date	3/20/01

<b>CERTIFICATION REGARDING SEQUENCE LISTING</b>  Address to: Commissioner for Patents Washington, D.C. 20231 <b>REGARDING SEQUENCE LISTING</b>	Attorney Docket	UCSF-129CIP
	First Named Inventor	German, et al.
	Application Number	Unassigned
	Filing Date	Herewith
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
Title:		"Production of Pancreatic Islet Cells and Delivery of Insulin"

11033 U.S. PTO  
09/01/360  
03/20/01

Sir:

I hereby certify that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

I hereby also certify that the enclosed submission includes no new matter.

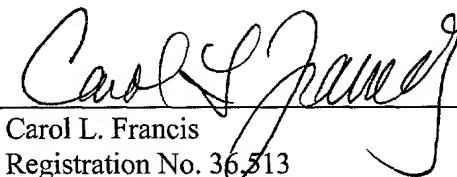
Applicants believe that no fee is due in connection with the filing of this paper. However, the Commissioner is hereby authorized to charge any fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date:

March 20, 2001

By:

  
Carol L. Francis  
Registration No. 36,513

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